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| TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 | REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK |
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In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been
filed in the U.S. District Court Northern District of Georgia, Atlanta Division on the following

☐ Trademarks or ☒ Patents. (☐ the patent action involves 35 U.S.C. § 292.);

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| DOCKET NO. 1:12-cv-2859-AT | DATE FILED 8/17/2012 | U.S. DISTRICT COURT Northern District of Georgia, Atlanta Division |
| PLAINTIFF JOAO BOCK TRANSACTION SYSTEMS | | DEFENDANT SCOTTRADE, INC. |
| PATENT OR TRADEMARK NO. | DATE OF PATENT OR TRADEMARK | HOLDER OF PATENT OR TRADEMARK |
| 1 6,047,270 | 4/4/2000 | Joao Bock Transaction Systems |
| 2 6,529,725 | 3/4/2003 | Joao Bock Transaction Systems |
| 3 7,096,003 | 8/22/2006 | Joao Bock Transaction Systems |
| 4 | | |
| 5 | | |

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

| | | |
|----------------------------|---|-------------------------------|
| DATE INCLUDED | INCLUDED BY <input type="checkbox"/> Amendment <input type="checkbox"/> Answer <input type="checkbox"/> Cross Bill <input type="checkbox"/> Other Pleading | |
| PATENT OR TRADEMARK NO. | DATE OF PATENT OR TRADEMARK | HOLDER OF PATENT OR TRADEMARK |
| 1 | | |
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In the above—entitled case, the following decision has been rendered or judgement issued:

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| DECISION/JUDGEMENT Civil Action transferred to USDC Northern District of Illinois. |
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| CLERK JAMES N. HATTEN | (BY) DEPUTY CLERK s/ Harry F. Martin | DATE 3/29/13 |
|--------------------------|---|-----------------|

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director
Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy